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12-03-01

PTO/SB/50 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033
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REISSUE PATENT APPLICATION TRANSMITTAL

PTO
11/30/01

Address to:		Attorney Docket No.	SLUTTER-RE
Assistant Commissioner for Patents Box Reissue Washington, DC 20231		First Named Inventor	SLUTTER
		Original Patent Number	5,995,225
		Original Patent Issue Date (Month/Day/Year)	11/30/1999
		Express Mail Label No.	EL695228494US

APPLICATION FOR REISSUE OF:
(Check applicable box)

 Utility Patent

 Design Patent

 Plant Patent

APPLICATION ELEMENTS (37 CFR 1.173)

- Fee Transmittal Form (PTO/SB/56)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status. See 37 CFR 1.27.
- Specification and Claims in double column copy of patent format (amended, if appropriate)
- Drawing(s) (proposed amendments, if appropriate)
- Reissue Oath/Declaration (original or copy)
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
- Power of Attorney
- Original U.S. Patent currently assigned? Yes No
(If Yes, check applicable box(es))
 - Written Consent of all Assignees (PTO/SB/53)
 - 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)
 - CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table
 - Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all of the following are necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on.
 - i. CD-ROM (2 copies) or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).
11. Original U.S. Patent for surrender
 - Ribboned Original Patent Grant
 - Statement of Loss (PTO/SB/55)
12. Foreign Priority Claim (35 U.S.C. 119) (if applicable)
13. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
14. English Translation of Reissue Oath/Declaration (if applicable)
15. Preliminary Amendment
16. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
17. Other: _____

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **000545** or Correspondence address below
(Insert Customer No. or attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

NAME (Print/Type)	Anthony H. Handal/ Roger Pitt	Registration No (Attorney/Agent)	26,275
Signature	<i>Anthony H. Handal/ Roger Pitt</i>	Date	11/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)
SLUTTER-RE

Claims as Filed - Part 1

Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 83	Total Claims (37 CFR 1.16(j))	(B) 15	****0 =	x \$ _____ =		or	x \$ _____ =
(C) 9	Independent claims (37 CFR 1.16(i))	(D) 2	* 0 =	x \$ _____ =			x \$ _____ =
			Basic Fee (37 CFR 1.16(h))	\$ _____			\$870.00
			Total Filing Fee	\$ _____		OR	\$870.00

Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		or	x \$ _____ =
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =			x \$ _____ =
			Total Additional Fee	\$ _____		OR		\$ _____

* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

*** After any cancellation of claims.

**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

 Applicant claims small entity status. See 37 CFR 1.27. Please charge Deposit Account No. _____ in the amount of _____.
A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____.

A duplicate copy of this sheet is enclosed.

 A check in the amount of \$ _____ to cover the filing / additional fee is enclosed. Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

11/30/2001

Date


 Signature of Applicant, Attorney or Agent of Record
 Anthony H. Handal/ Roger Pitt

Typed or printed name

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November 30, 2001

BOX PATENT APPLICATION

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

NO FEES ARE AUTHORIZED TO BE PAID AT THIS TIME

Re: Warren SLUTTER et al.
New U.S. Patent Application
Application No. Unknown Filed: Herewith
Reissue of U.S. Patent No. 5,995,221 Dated: November 30, 1999
Attorney Docket No. SLUTTER-RE
"MODIFIED CONCENTRIC SPECTROGRAPH"

SIR:

We enclose herewith:

- Reissue Patent Application Transmittal Letter;
- Reissue Application Fee Transmittal Form;
- Copy of Issued Patent With Certificate of Correction (13 pp);
- Preliminary Amendment with Status of Claims and Support for Claim Changes;
- Seven (7) Sheets of Drawings;
- Acknowledgment Postcard.

Future Fee Payment Authorization: The Commissioner is hereby authorized to charge payment of the fees associated with *future* communications or credit any overpayment to Deposit Account No. 08-0570.

Applicant hereby petitions under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.


Respectfully submitted,
Anthony H. Handal
Reg. No. 26,275
Roger Pitt
Reg. No. 46,996

Express Mail Label No.
EL695228494US